

CALIFORNIA DEPARTMENT OF INSURANCE
LEGAL DIVISION
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Attorneys for Steve Poizner
Insurance Commissioner

**BEFORE THE INSURANCE COMMISSIONER
OF THE STATE OF CALIFORNIA**

In the Matter of

NEW YORK LIFE INSURANCE
COMPANY,

Respondent.

File No. UPA-2009-00002

ORDER TO SHOW CAUSE,
STATEMENT OF CHARGES, AND
NOTICE OF MONETARY PENALTY

(Insurance Code Sections 790.03, 790.05 and
790.035)

ORDER TO SHOW CAUSE

WHEREAS, the Insurance Commissioner of the State of California (“the Commissioner”) has reason to believe that NEW YORK LIFE INSURANCE COMPANY has engaged in this State in acts that are not in compliance with certain California laws and regulations, as set forth in the STATEMENT OF CHARGES contained herein; and

WHEREAS, the Commissioner has reason to believe that a proceeding with respect to the alleged acts of Respondent would be in the public interest.

NOW, THEREFORE, and pursuant to the provisions of Section 790.05 of the California Insurance Code, Respondent is ordered to appear before the Commissioner on a date and time to be determined and show cause, if any cause there be, why the Commissioner should not issue an Order to Respondent requiring Respondent to Cease and Desist from engaging in the methods, acts and practices set forth in the STATEMENT OF CHARGES contained herein and imposing the penalties set forth in Section 790.035 and referenced herein.

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